

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No. : 10/667,740 Confirmation No.: 1890
Applicants : Bailey et al.
Filed : September 22, 2003
TC/A.U. : 2179
Examiner : TRAN, TUYETLIEN T.
Docket No. : BOC9-2003-0028 (397)

DECLARATION UNDER 37 C.F.R. § 1.131

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Sir:

I, Jo Lynn Golden, a citizen of the United States, residing in Colleyville, Texas, hereby declare and state as follows:

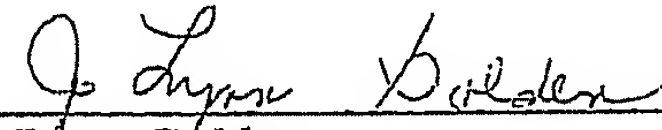
1. I was employed by International Business Machines Corporation (IBM) of Armonk, New York at the time the above-identified application was conceived. I make this declaration in support the above-identified application.
2. IBM has invested substantial time and effort into the research, development, and marketing of their products, and in an effort to protect its rights in all new inventions, IBM requests that all employees prepare and submit confidential Invention Disclosure Forms upon conception by the inventor(s).
3. As a named co-inventor for this invention, I and my co-inventor prepared and submitted the attached Invention Disclosure No. BOC8-2002-0123 (hereinafter "Invention Disclosure") pursuant to IBM guidelines.
4. The Invention Disclosure was originally submitted for consideration to an IBM Attorney/Patent Professional for preparation of a patent application on December 3, 2002, and was insubstantially modified on December 9, 2002. The content of the Invention Disclosure has not been subsequently modified. The Invention Disclosure represents a fully conceived and workable invention as written. I reviewed the claims of the above-mentioned patent application prior to submission of the application to assure the claimed invention was fully supported by the

Invention Disclosure in light of the Invention Disclosure and art known at the time of the disclosure.

5. I diligently worked with outside counsel to prepare and file the above-mentioned patent application.

6. I make this Declaration to establish that my co-inventor and I conceived of the present invention at least as early as December 3, 2002, and exercised due diligence from that date to the date of filing for the above-identified patent application.

7. I further declare that all statements made herein of my own knowledge are true and all statements made on information and belief are believed to be true and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both under Section 1001 of Title 18 of the United States Code, and that such willful, false statements may jeopardize the validity of the above-identified patent application or any patent issuing thereon.


Jo Lynn Golden

Date: 9/11/07

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No. : 10/667,740 Confirmation No.: 1890
Applicants : Bailey et al.
Filed : September 22, 2003
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Docket No. : BOC9-2003-0028 (397)

DECLARATION UNDER 37 C.F.R. § 1.131

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Sir:

I, Bret Bailey, a citizen of the United States, residing in Flower Mound, Texas, hereby declare and state as follows:

1. I was employed by International Business Machines Corporation (IBM) of Armonk, New York at the time the above-identified application was conceived. I make this declaration in support the above-identified application.

2. IBM has invested substantial time and effort into the research, development, and marketing of their products, and in an effort to protect its rights in all new inventions, IBM requests that all employees prepare and submit confidential Invention Disclosure Forms upon conception by the inventor(s).

3. As a named co-inventor for this invention, I and my co-inventor prepared and submitted the attached Invention Disclosure No. BOC8-2002-0123 (hereinafter "Invention Disclosure") pursuant to IBM guidelines.

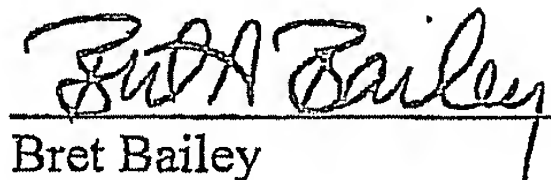
4. The Invention Disclosure was originally submitted for consideration to an IBM Attorney/Patent Professional for preparation of a patent application on December 3, 2002, and was insubstantially modified on December 9, 2002. The content of the Invention Disclosure has not been subsequently modified. The Invention Disclosure represents a fully conceived and workable invention as written. I reviewed the claims of the above-mentioned patent application prior to submission of the application to assure the claimed invention was fully supported by the

Invention Disclosure in light of the Invention Disclosure and art known at the time of the disclosure.

5. I diligently worked with outside counsel to prepare and file the above-mentioned patent application.

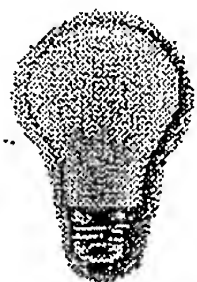
6. I make this Declaration to establish that my co-inventor and I conceived of the present invention at least as early as December 3, 2002, and exercised due diligence from that date to the date of filing for the above-identified patent application.

7. I further declare that all statements made herein of my own knowledge are true and all statements made on information and belief are believed to be true and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both under Section 1001 of Title 18 of the United States Code, and that such willful, false statements may jeopardize the validity of the above-identified patent application or any patent issuing thereon.


Bret Bailey

Date: 9/11/07

EXHIBIT "A"



Disclosure BOC8-2002-0123

Prepared for and/or by an IBM Attorney - IBM Confidential

Created By Jo Lynn Golden On 12/03/2002 02:26:15 PM MST

Last Modified By Jo Lynn Golden On 12/09/2002 02:22:15 PM EST

Required fields are marked with the asterisk (*) and must be filled in to complete the form .

*Title of disclosure (in English)

GUI Interface to configure TCP/IP on a Z series operating system

Summary

Status	Under Evaluation
Final Deadline	
Final Deadline Reason	
*Processing Location	Boca Raton
*Functional Area	select (11) Global Sales Operations & Technical Support (Atlanta) Div 9
Attorney/Patent Professional	Richard J. Golden/Boca Raton/IBM
IDT Team	select Ken Zylberstein/IBM
Submitted Date	12/05/2002 10:06:13 AM MST
*Owning Division	select 8000
Incentive Program	
Lab	
Code	

Inventors with a Blue Pages entry

Inventors: Jo Lynn Golden/Dallas/IBM, Bret Bailey/Dallas/IBM

Inventor Name	Inventor Serial	Div/Dept	Inventor Phone	Manager Name
> Golden, Jo Lynn (Jolynn)	522-5713	8000	522-5713	Hubert, Robert W (8000)
Bailey, Bret A.	522-5713	8000	522-5713	Hubert, Robert W (8000)

> denotes primary contact

Inventors without a Blue Pages entry

IDT Selection

Attorney/Patent Professional: Richard J. Golden/Boca Raton/IBM
IDT Team: Ken Zylberstein/Dallas/IBM
Response Due to IP&L: 01/09/2003

***Main Idea**

1. Background: What is the problem solved by your invention? Describe known solutions to this problem (if any). What are the drawbacks of such known solutions, or why is an additional solution required? Cite any relevant technical documents or references.

Problem: TCP/IP configurations on Z series are currently stored in a flat file. Several of these configuration settings are mutually exclusive allowing the creation of configuration errors. There currently is no GUI interface to this process; you must manually edit the files. A GUI front end to manipulate the configurations could perform syntax and error checking prior to saving the data.

2. Summary of Invention: Briefly describe the core idea of your invention (saving the details for questions #3 below). Describe the advantage(s) of using your invention instead of the known solutions described above.

A GUI front end could aid the system programmer in making changes to TCP/IP settings with the use of online help and specific paths for mutually exclusive settings in the configuration files. The GUI interface could implement error and syntax checking as well as, multiple configuration file synchronization.

3. Description: Describe how your invention works, and how it could be implemented, using text, diagrams and flow charts as appropriate.

The GUI front end could be added to the ISPF menu making TCP/IP administration consistent with other system programmer tasks on a Z series operating system. This front end could be written so it would only be visible to system programmers to eliminate any security exposure. The GUI front end could be menu driven to lead the system programmer through the process of making changes to several required files. The addition of built in error and syntax checking could save time, effort, and potential IP network problems. This would also reduce the amount of time spent troubleshooting IP network problems.

***Patent Value Tool**

* 1. Select the single most appropriate technology category for your invention from the following technologies:

492: IBM/400 Human Interface: Front of Screen Display & User Input Systems 492: Software Interface for I/O & data entry

Comments:

Are there any additional significant markets where the invention is likely to have impact?

Yes ☐ No ☒

* 2. Have you implemented the invention (e.g., made a prototype) or otherwise shown that it is workable?

Yes ☐ No ☒

* 3. Has the subject matter of the invention or a product incorporating the invention been offered for sale or is it likely to be offered for sale, as part of an IBM product or service?

☒ No known product plans within 2 years

☐ Maybe, GA 1-2 years away

☐ Yes, GA within 3-12 months

☐ Yes, GA within 3 months

☐ Yes, product has been announced

* 4. Has the invention been commercially used (internally or externally) by IBM or another entity (e.g., included in or used to make products or prototypes provided to a customer)?

Yes ☐ No ☒

* 5. In what type of product might a competitor include the invention?

Z series operating system environment system administration tools

What, if any, competitors indicate home country of such competitors if not United States?
None

- *6. How easily can the use of the invention by a third party be detected?
- ☐ Easily, if detectable, third party must admit use for IBM to know
 - ☐ Difficultly, e.g., with reverse engineering or examination of available code
 - ☐ With difficulty, e.g., using test cases, but not reverse engineering
 - ☐ Easily, by running & viewing product operation
 - ☐ Easily, without purchase of product, e.g., by reading product literature

Please propose how a test would be performed and what test methods may be required.
View the SMP log files to detect what files were changed and who changed them.

- *7. Is the invention applicable to a standard?
- ☐ Yes ☒ No

What is the standard? ISPF Dialog management
Is IBM participating in the standard? ☒ Yes ☐ No

- *8. Have you or any of the other inventors submitted this invention disclosure or a similar invention disclosure previously?
- ☐ Yes ☒ No

- **9. Please list the invention disclosures (previously submitted or about to be submitted), products, patents, or publications that you and the other inventors feel are the most relevant to your invention (e.g., pertaining to the problem you are solving, including other solutions to the problem), be they from you or anyone else, or if not applicable enter "None".
None

- *10. Was the invention made in the course of any activity that involved any other party, be it:
- ☐ The government
 - ☐ A customer (such as an RFQ)
 - ☐ An evaluation partner
 - ☐ As part of a standards setting activity
 - ☐ Other persons not employed by IBM
- ☒ Yes ☐ No

- *11. Have you ever disclosed your invention to anyone outside IBM, or do you plan to do so in the future?
- ☐ Yes ☒ No

- *12. If the invention relates to a product or service that is outside the scope of your business unit, please recommend IBM business unit(s), IBM location(s) or individual(s) within IBM that you think would provide a competent evaluation of your invention.

ATTACHMENTS
Post Disclosure Text & Drawings

IBM CONFIDENTIAL

SEARCHER: MARK D. WISLER
SEARCH TYPE: PATENTABILITY
SEARCH REQUEST NO.: BOC802-0123
SEARCH REQUEST FOR: [REDACTED]
LOCATION: BOCA RATON
DATE: JANUARY 8, 2003

RECEIVED JAN 08 2003

EXHIBIT "B"

GUI INTERFACE TO CONFIGURE TCP/IP ON A Z SERIES OPERATING SYSTEM

FEATURES TO BE SEARCHED:

A GUI interface is used to edit a flat file of TCP/IP configuration information on a Z-series operating system. In the process of editing, the GUI could perform syntax and error checking prior to saving data, as well as multiple file synchronization. The GUI could also provide online help when making changes to TCP/IP settings and ensure specific paths are set to be mutually exclusive in the configuration files.

DEFINED FIELD OF SEARCH:

345/705, 708, 710, 805
709/221, 223, 250
IPC G06F 09/44

DATABASE:

WPAT, JAPIO, INSPEC, TDBS, DOSS

RELATED ART:

✓WO 02/03201 - See pages 1, 2, 3, 6, 7, 8, 9, 10, and 11.

✓US 2001/0056476 - Appears to be assigned to IBM. See paragraphs 98-104.

NON-PATENT LITERATURE:

Hirosawa, T. et al., "Development of a System Operation Vicarious Execution Function of Mainframe Computer Systems by the Personal Computer Control", Transactions of the Information Processing Society of Japan, Vol. 41, No. 9, pages 2614-2624, September 2000. See Abstract.

03 JAN -9 12:17

DOSS (ALL LISTINGS): NONE AT THIS TIME

IP LAW 0008
BOCA RATON

IBM CONFIDENTIAL

FEATURES THAT WERE NOT FOUND:

While references appearing to relate to feature 1) appear to have been located in the defined field of search, an the feature as recited above was not located at this time in the defined field of search.

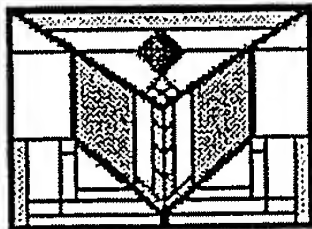
OTHER COMMENTS:

The search can be extended.

SIGNATURE: *Mark D. Wise*

EXHIBIT "C"

OK to
file
M.I.



Jo Lynn Golden

03/20/2003 10:45 AM

This document expires on
06/18/2003

To: ~~elaine.venturini~~

cc: ~~Bret Bailey/Dallas/IBM~~

From: Jo Lynn Golden/Dallas/IBM@IBMUS

Subject: BOC8-2002-0123 disclosure review

With regards to WO 02/03201 A2, this art is related to remote management of hardware through a virtual console and could make use of our disclosure but is not a replacement for or alternate method of achieving the goals of our disclosure.

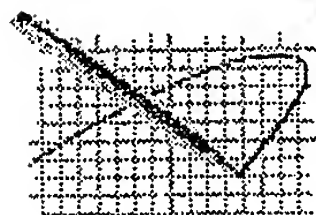
With regards to US 2001/0056476 A1, while this art deals with IP networking it is only using the IP network not managing the configuration settings as is proposed in our disclosure.

With regards to ABN # C2001-02-5620-002, while employing a GUI interface, this art deals with managing distributed hardware and I/O devices. It could work in conjunction with our proposed disclosure but is not a replacement or alternative.

Thank you,
Jo Lynn Golden
Bret Bailey

Jo Lynn Golden
Global e-business Solutions Center
1 Campus Circle
02-01-30
Roanoke, Tx. 76262
(817) 962-6704 t/l 522-6704
goldenj@us.ibm.com

----- Forwarded by Jo Lynn Golden/Dallas/IBM on 03/20/2003 09:31 AM -----



~~elaine.venturini~~
01/13/2003 03:27 PM

To: Jo Lynn Golden/Dallas/IBM@IBMUS, Bret Bailey/Dallas/IBM@IBMUS

cc:

From: ~~Elaine Venturini/Dallas/IBM@IBMUS~~

Subject: BOC8-2002-0123

The above referenced invention disclosure has been identified as a possible candidate for the Autonomic Computing Special Patent Incentive. In order to qualify, the application must be filed by July 31, 2003. The patentability search results were sent to you on January 9, 2003. May I ask your assistance in processing the review as quickly as possible. If this disclosure is to be filed, we would like to have plenty of time to meet the deadline.

Thank you in advance.

Intellectual Property Law Department
(561-862-2733 or T/L 975-2733)
8051 Congress Avenue, Internal Zip 4042
Boca Raton, FL 33487
e-mail address: eventure@us.ibm.com

ISPF (Interactive System Productivity Facility) is the user interface and supporting programs that come with IBM's OS/390 operating system and that allow a company to configure and manage its system, add new system or application programs and test them, and access system files (data sets). ISPF consists of the Dialog Manager (DM), the Program Development Facility (PDF), and the Software Configuration and Library Management (SCLM) facility.

IBM also offers an ISPF that will run on an OS/2 system so that developers can work "offline" from the OS/390.

OS/390 is the IBM operating system most commonly installed on its S/390 line of mainframe server. It is an evolved and newly renamed version of MVS (Multiple Virtual Storage), IBM's long-time, robust mainframe operating system. By whatever name, MVS has been said to be the operating system that keeps the world going. The payroll, accounts receivable, transaction processing, database management, and other programs critical to the world's largest businesses are usually run on an MVS system. Although MVS tends to be associated with a monolithic, centrally-controlled information system, IBM has in recent years repositioned it as a "large server" in a network-oriented distributed environment that would tend to use a 3-tier application model.

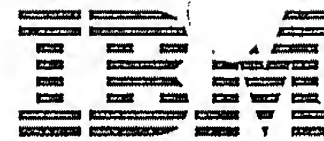
Since MVS represents a certain epoch and culture in the history of computing and since many older MVS systems still operate, the term "MVS" will probably continue to be used for some time. Since OS/390 also comes with Unix user and programming interfaces built in, it can be used as both an MVS system and a UNIX system at the same time. OS/390 (and earlier MVS) systems run older applications developed using Common Business Oriented Language and, for transaction programs, Customer Information Control System. Older application programs written in PL/I and Formula Translation are still running. Older applications use the Virtual Storage Access Method access method for file management and Virtual Telecommunications Access Method for telecommunication with users. The most common program environment today uses the C and C++ languages. DB2 is IBM's primary . Java applications can be developed and run under OS/390's UNIX environment.

For additional information about major components of OS/390, see MVS. Other IBM operating systems for their larger computers include or have included: the Transaction Processing Facility (TPF), used in some major airline reservation systems, and virtual machine, an operating system designed to serve many interactive users at the same time.

z/OS is the computer operating system for IBM's zSeries 900 (z900) line of large (mainframe) servers. z/OS is a renamed and upgraded version of OS/390, which in turn evolved from the MVS operating system. IBM's renamed servers and operating systems reflect a strategy to realign its products more closely with the Internet and its own e-business initiatives.

z/OS is described as an extremely scalable and secure high-performance operating system based on the 64-bit z/Architecture. Like its predecessor, OS/390, z/OS lays claim to being highly reliable for running mission-critical applications. The operating system supports Web- and Java-based applications.

EXHIBIT "D"



8051 Congress Avenue
Boca Raton, FL 33487

March 26, 2003

Akerman, Senterfitt
222 Lakeview Avenue
4th Floor
West Palm Beach, FL 33401

6169-397


REF: Invention Disclosure: BOC8-2002-0123
Title: GUI Interface to configure TCP/IP on a Z series operating system
IBM Docket: BOC9-2003-0028

Dear Kevin,

Please prepare and file the above referenced case with the U.S. Patent and Trademark Office. A copy of the invention disclosure, patentability search results and inventor's comments are enclosed for your use in preparation of the application in accordance with IBM's format.

Please note this docket may qualify for IBM's Autonomic Computing Special Patent Incentive award program. All applications that qualify for this award must be filed by September 30, 2003. Please let me know if you have any concerns with meeting this date.

Sincerely,



Richard A. Tomlin
(561) 862-2732

Enclosures

RECEIVED
DOCKETING

MAR 27 2003

AKERMAN SENTERFITT, P.A.

EXHIBIT "E"



Boca Raton
Fort Lauderdale
Jacksonville
Miami
Orlando
Tallahassee
Tampa
West Palm Beach

Direct Dial: 561-671-3658
E-Mail: kcuenot@akerman.com

222 Lakeview Avenue
4th Floor
West Palm Beach, Florida 33401-6147
Post Office Box 3188 *mail*
West Palm Beach, Florida 33402-3188
www.akerman.com
561 653 5000 *tel* 561 659 6313 *fax*

March 27, 2003


IBM Corporation




RE: New U.S. Patent Application
GUI INTERFACE TO CONFIGURE TCP/IP
ON A Z SERIES OPERATING SYSTEM
IBM Docket No. BOC9-2003-0028; Our Reference No.: 6169-397

Dear :

Thank you for your letter dated March 26, 2003. In accordance with IBM standard protocol, a patent application will be prepared and filed in the above-referenced matter on or before September 22, 2003. Notwithstanding, we will strive to prepare the patent application in an expedient manner.

Additionally, we have noted this application may qualify for IBM's Autonomic Computing Special Patent Incentive award program and therefore must be filed with the U.S. Patent and Trademark Office on or before September 30, 2003 in order to qualify for the incentive program.

As always, thank you for allowing us to be of assistance to you.

Very truly yours,

AKERMAN SENTERFITT



Kevin T. Cuenot

KTC/aa

cc: Gregory A. Nelson, Esquire

EXHIBIT "F"

6169-397

Valee Bartels - Examples for BOC820020123 disclosure

From: "Jo Lynn Golden" <goldenj@us.ibm.com>
To: <bbuchheit@akerman.com>
Date: 9/5/2003 11:37 AM
Subject: Examples for BOC820020123 disclosure
CC: "Bret Bailey" <baileyba@us.ibm.com>

Brian,

Here are two examples for the disclosure BOC820020123. They are just sample ISPF templates that could be built as part of the implementation. Let myself or Bret know if you need anything else.

Jo Lynn

(See attached file: tcp config example.doc)(See attached file: Example of ispf panel.doc)

Jo Lynn Golden
Sr. Consulting I/T Specialist
Global e-business Solutions Center
2 Campus Circle
02-01-30
Roanoke, Tx. 76262
(817) 962-6704 t/l 522-6704
goldenj@us.ibm.com

TCP/IP Configuration Definition

Select one of the following options.

- ☐ 1. Read saved definition
- ☐ 2. Extract definition from TCP/IP profile
- ☐ 3. Create new definition
- ☐ 4. Activate definition

ENTER to continue

.....
OS/390 TCP/IP Configuration
Command ==>

Select one of the following.

- ___ 1. Define, modify, or view configuration data
- 2. Activate or process configuration data
- 3. Print or compare configuration data
- 4. Create or view graphical configuration report
- 5. Migrate configuration data
- 6. Getting started with this dialog
- 7. What's new

From: Valee Bartels
To: Bailey, Bret A.; Golden, Jo Lynn
Date: 9/12/03 10:38AM
Subject: Inventor Information Request

Dear Inventors:

In order to accurately prepare formal documents for filing with the patent application for BOC9-2003-0028 (6169-397), please supply the following information:

Jo Lynn Golden

Home Address: _____

County of Residence: _____

Citizenship: _____

Bret A. Bailey

Home Address: _____

County of Residence: _____

Citizenship: _____

Thank you,

Valee Bartels

Legal Assistant to Kevin T. Cuenot

Akerman, Senterfitt & Eidson, P.A.

222 Lakeview Avenue, Suite 400

West Palm Beach, FL 33401

Ph: 561.653.5000, ext. 3434

Fax: 561.659.6313

E-mail: vbartels@akerman.com

CC: Buchheit, Brian; Cuenot, Kevin T.; 

From: Valee Bartels
To: Bailey, Bret A.; Golden, Jo Lynn
Date: 9/12/03 10:31AM
Subject: IBM Docket No. BOC9-2003-0028; Our Docket No. 6169-397

Re: Draft Patent Application for INTERFACE FOR CONFIGURING INTERNET
COMMUNICATIONS ON A Z SERIES COMPUTER
IBM Docket No. BOC9-2003-0028; Our Docket No. 6169-397

Dear Inventors:

Attached please find a draft of a patent application and associated drawings for your review in the above-identified matter. Please review the application carefully to ensure that the description of the invention accurately recites all of the invention's characteristics in the broadest possible manner, while also explaining, in detail, the preferred embodiment of the invention. The drawings should also be reviewed to confirm that they accurately depict the various details of the invention as you and your co-inventors understand them.

Importantly, please ensure that each inventor named on the cover sheet of the patent application has contributed to the conception of your invention as described in at least one of these claims. If for some reason we have neglected to list an inventor who has contributed to the conception of subject matter described in a claim, please so advise us immediately.

Once you have reviewed it, please forward your comments and/or any suggestions you may have.

Please note: When you open the Fig. 3.pdf file you should receive the following message: "The font 'Webdings' contains bad/Widths". This message refers to the icons included in toolbar 310 and should be ignored. It is a PDF problem that will not occur when we submit the SmartDraw drawings to the U.S. Patent and Trademark Office.

Very truly yours,

AKERMAN SENTERFITT

Brian K. Buchheit, Esquire*
Akerman Senterfitt
222 Lakeview Avenue, Suite 400
West Palm Beach, FL 33402-3188
Voice: (561) 671-3674
Fax.: (561) 659-6313
E-mail: kcuenot@akerman.com
Web: www.akerman.com

*Licensed to Practice Before the United States Patent and Trademark Office

Sent on Mr. Buchheit's behalf to avoid delay.

Valee Bartels
Legal Assistant to Kevin T. Cuenot
Akerman, Senterfitt & Eidson, P.A.
222 Lakeview Avenue, Suite 400
West Palm Beach, FL 33401
Ph: 561.653.5000, ext. 3434
Fax: 561.659.6313
E-mail: vbartels@akerman.com

From: Valee Bartels
To: Bailey, Bret A.; Golden, Jo Lynn
Date: 9/12/03 10:33AM
Subject: IBM Docket No. BOC9-2003-0028; Our Docket No. 6169-397

Re: Draft Patent Application for INTERFACE FOR CONFIGURING INTERNET
COMMUNICATIONS ON A Z SERIES COMPUTER
IBM Docket No. BOC9-2003-0028; Our Docket No. 6169-397

Dear Inventors:

Please be advised the password is "mayday".

Very truly yours,

AKERMAN SENTERFITT

Brian K. Buchheit, Esquire*
Akerman Senterfitt
222 Lakeview Avenue, Suite 400
West Palm Beach, FL 33402-3188
Voice: (561) 671-3674
Fax.: (561) 659-6313
E-mail: kcuenot@akerman.com
Web: www.akerman.com

*Licensed to Practice Before the United States Patent and Trademark Office

Sent on Mr. Buchheit's behalf to avoid delay.

Valee Bartels
Legal Assistant to Kevin T. Cuenot
Akerman, Senterfitt & Eidson, P.A.
222 Lakeview Avenue, Suite 400
West Palm Beach, FL 33401
Ph: 561.653.5000, ext. 3434
Fax: 561.659.6313
E-mail: vbartels@akerman.com

CC: Buchheit, Brian; Cuenot, Kevin T.; 

Valee Bartels - Re: Inventor Information Request

From: "Bret Bailey" <baileyba@us.ibm.com>
To: "Valee Bartels" <VBartels@Akerman.com>
Date: 9/12/2003 10:52 AM
Subject: Re: Inventor Information Request
CC: "Jo Lynn Golden" <goldenj@us.ibm.com>

My address is:

Bret Bailey
 6017 Rock Cove
 Flower Mound, Texas 75028

Country of Residence: USA Denton
 Citizenship: USA

Bret Bailey

~~Global Business Solutions Center~~
~~1500 Ross Avenue, Suite 2000~~
~~Ft. Worth, Texas 76102~~
~~baileyba@us.ibm.com~~

~~GeSC home page: <http://w3.ibm.com/support/stss/ge.html>~~

GeSC Solution Prototypes (info & collateral):
 Personal Financial Services Prototype (PFSP):

~~<http://w3.ibm.com/support/stss/pfsp.html>~~

Bank Branch Transformation: <http://w3.ibm.com/support/stss/fsbt.html>

"Valee Bartels"
 <VBartels@Akerman
 .com>

To
 Bret Bailey/Dallas/IBM@IBMUS, Jo
 Lynn Golden/Dallas/IBM@IBMUS
 09/12/2003 09:38 AM
 CC

"Brian Buchheit"
 <BBuchheit@Akerman.com>, "Kevin T.
 Cuenot" <KCuenot@Akerman.com>,
~~[Redacted]~~

Subject
 Inventor Information Request

Dear Inventors:

In order to accurately prepare formal documents for filing with the patent application for BOC9-2003-0028 (6169-397), please supply the following information:

Jo Lynn Golden

Home Address: _____

County of Residence: _____

Citizenship: _____

Bret A. Bailey

Home Address: _____

County of Residence: _____

Citizenship: _____

Thank you,

Valee Bartels

Legal Assistant to Kevin T. Cuenot

Akerman, Senterfitt & Eidson, P.A.

222 Lakeview Avenue, Suite 400

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Ph: 561.653.5000, ext. 3434

Fax: 561.659.6313

E-mail: vbartels@akerman.com

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Valee Bartels - Inventor Information Request

From: "Jo Lynn Golden" <goldenj@us.ibm.com>
To: "valee bartels" <valee_bartels@akerman.com>
Date: 9/12/2003 12:08 PM
Subject: Inventor Information Request

Valee,
Here is the information you requested.
Jo Lynn Golden
3700 Sweetbriar Lane
Colleyville, Tx. 76034-8681
County of residence: Tarrant
Citizenship : United States

Jo Lynn Golden
IBM Consulting IT Specialist
Global Business Solutions Center
Campus Circle
Roanoke, Tx. 76262
(817) 962-6704 / (522-6704)
goldenj@us.ibm.com

----- Forwarded by Jo Lynn Golden/Dallas/IBM on 09/12/2003 10:54 AM -----

"Valee Bartels"
<VBartels@Akerman To: Bret Bailey/Dallas/IBM@IBMUS, Jo Lynn
Golden/Dallas/IBM@IBMUS
.com> cc: "Brian Buchheit" <BBuchheit@Akerman.com>, "Kevin T.
Cuenot"
<KCuenot@Akerman.com>, Elaine Venturini/Boca
Raton/IBM@IBMUS
09/12/2003 09:38 Subject: Inventor Information Request
AM

Dear Inventors:

In order to accurately prepare formal documents for filing with the patent
application for BOC9-2003-0028 (6169-397),
please supply the following information:

Jo Lynn Golden

Home Address: _____
County of Residence: _____
Citizenship: _____

Bret A. Bailey
Home Address: _____
County of Residence: _____
Citizenship: _____

Thank you,

Valee Bartels
Legal Assistant to Kevin T. Cuenot
Akerman, Senterfitt & Eidson, P.A.
222 Lakeview Avenue, Suite 400
West Palm Beach, FL 33401
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Valee Bartels - IBM Docket No. BOC9-2003-0028; Our Docket No. 6169-397

From: "Jo Lynn Golden" <goldenj@us.ibm.com>
To: <vbartels@akerman.com>
Date: 9/16/2003 12:09 PM
Subject: IBM Docket No. BOC9-2003-0028; Our Docket No. 6169-397
CC: "Bret Bailey" <baileyba@us.ibm.com>, <bbuchheit@akerman.com>, <kcuenot@akerman.com>,"

We have carefully reviewed the draft patent application. The figures are accurate depictions of the invention. On page 6 of the application, in the paragraph labeled [0020], the fourth sentence " The GUI 200 can be written for any operating system native to the zSeries computer including, but not limited to z/OS, OS/390, MVS, Linux, and UNIX." -- should read "The GUI 200 can be written for any operating system native to the zSeries computer including, but not limited to z/OS, OS/390, MVS, and Linux." . In that same paragraph, at the end, please add "If GUI 200 were written as a client that connects to the zSeries 105, it could run on any operating system.".

Thank you
Jo Lynn Golden
Bret Bailey

Jo Lynn Golden

Senior Consulting I/T Specialist
Global e-business Solutions Center
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Ponoke, TX. 76262
(817) 962-6704 L/A 522-6704
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----- Forwarded by Jo Lynn Golden/Dallas/IBM on 09/16/2003 10:55 AM -----

"Valee Bartels"
 <VBartels@Akerman Golden/Dallas/IBM@IBMUS
 .com>
 Cuenot"
 <KCuenot@Akerman.com>, [REDACTED]
 Raton/IBM@IBMUS
 09/12/2003 09:31 Subject: IBM Docket No. BOC9-2003-0028; Our Docket No. 6169-397
 AM

Re: Draft Patent Application for INTERFACE FOR CONFIGURING INTERNET
COMMUNICATIONS ON A Z SERIES COMPUTER
IBM Docket No. BOC9-2003-0028; Our Docket No. 6169-397

Dear Inventors:

Attached please find a draft of a patent application and associated drawings for your review in the above-identified matter. Please review the application carefully to ensure that the description of the invention accurately recites all of the invention's characteristics in the broadest possible manner, while also explaining, in detail, the preferred embodiment of the invention. The drawings should also be reviewed to confirm that they accurately depict the various details of the invention as you and your co-inventors understand them.

Importantly, please ensure that each inventor named on the cover sheet of the patent application has contributed to the conception of your invention as described in at least one of these claims. If for some reason we have neglected to list an inventor who has contributed to the conception of subject matter described in a claim, please so advise us immediately.

Once you have reviewed it, please forward your comments and/or any suggestions you may have.

Please note: When you open the Fig. 3.pdf file you should receive the following message: "The font 'Webdings' contains bad/Widths". This message refers to the icons included in toolbar 310 and should be ignored. It is a PDF problem that will not occur when we submit the SmartDraw drawings to the U.S. Patent and Trademark Office.

Very truly yours,

AKERMAN SENTERFITT

Brian K. Buchheit, Esquire*
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222 Lakeview Avenue, Suite 400
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Sent on Mr. Buchheit's behalf to avoid delay.

Valee Bartels
Legal Assistant to Kevin T. Cuenot
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**** Attachment 6169-397 Patent Appln.zip has been removed from this note on September 16, 2003 by Jo Lynn Golden ****

From: Valee Bartels
To: Bailey, Bret A.; Golden, Jo Lynn
Date: 9/16/03 4:30PM
Subject: IBM Docket No. BOC9-2003-0028; Our Docket No. 6169-397

Re: Final Draft Patent Application for INTERFACE FOR CONFIGURING INTERNET COMMUNICATIONS ON A Z SERIES COMPUTER
IBM Docket No. BOC9-2003-0028; Our Docket No. 6169-397

Dear Inventors:

Enclosed please find for execution a final draft of the above-identified patent application, the Declaration and Power of Attorney, the Assignment, the Oath and Assignment for the Republic of China, a REDLINED version and of the patent which evidences the changes you have requested and which have been incorporated into the final version as well as the drawings.

After your review of the REDLINED patent application and drawings, if the patent application accurately recites all of the invention's characteristics, by copy of this e-mail to Melanie Esquivel, please print out the formal documents and sign and date the enclosed documents where indicated.

Once the documents are fully executed, Ms. Esquivel can forward the documents to our office for filing with the patent application.

Finally, if you feel we have not fully addressed your concerns, please do not hesitate to contact us prior to executing the documents.

Very truly yours,

AKERMAN SENTERFITT

Brian K. Buchheit, Esquire*
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Sent on Mr. Buchheit's behalf to avoid delay.

Valee Bartels
Legal Assistant to Kevin T. Cuenot
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CC: Buchheit, Brian; Cuenot, Kevin T.; esquibm@us.ibm.com; Venturelli, Elaine

From: Valee Bartels
To: Bailey, Bret A.; Golden, Jo Lynn
Date: 9/16/03 4:31PM
Subject: IBM Docket No. BOC9-2003-0028; Our Docket No. 6169-397

Re: Final Draft Patent Application for INTERFACE FOR CONFIGURING INTERNET COMMUNICATIONS ON A Z SERIES COMPUTER
IBM Docket No. BOC9-2003-0028; Our Docket No. 6169-397

Dear Inventors:

Please be advised the password remains "mayday".

Very truly yours,

AKERMAN SENTERFITT

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Sent on Mr. Buchheit's behalf to avoid delay.

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E-mail: vbartels@akerman.com

CC: Buchheit, Brian; Cuenot, Kevin T.; esquire@us.ibm.com; Venturelli, Elaine

*EXHIBIT "G"*Docket No.: BOC9-2003-0028 (397)
Express Mail Label No.: EV 346755859 US

As a named inventor, I hereby appoint the following attorneys and/or agents to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

J. Rodman Steele, Jr.	Reg. No. 25,931	Nell R. Jetter	Reg. No. 46,803
Gregory A. Nelson	Reg. No. 30,577	Pablo Meles	Reg. No. 33,739
Joseph W. Baln	Reg. No. 34,290	Terry W. Forsythe	Reg. No. 47,569
Robert J. Sacco	Reg. No. 35,667	Michael K. Dixon	Reg. No. 46,666
Stanley Kim	Reg. No. 42,730	Sarah E. Smith	Reg. No. 50,488
Mark D. Passler	Reg. No. 40,764	Amy A. Ostrom	Reg. No. 52,088
Steven Greenberg	Reg. No. 44,725	Brian K. Buchheit	Reg. No. 52,667
Kevin T. Cuenot	Reg. No. 46,283	Richard A. Tomlin	Reg. No. 24,449

Send correspondence to Gregory A. Nelson, Akerman Senterfitt, 222 Lakeview Avenue, Fourth Floor, P.O. Box 3188, West Palm Beach, Florida 33402-3188 and direct all telephone calls to Gregory A. Nelson at (561) 653-5000.

First Inventor:	Bret A. Bailey
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Residence:	Flower Mound, TX Date
Citizenship:	U.S.
Post Office Address:	6017 Rock Cove, Flower Mound, TX 75028
Second Inventor:	Jo Lynn Golden
Signature:	<i>Jo Lynn Golden</i> 9/16/03
Residence:	Colleyville, TX Date
Citizenship:	U.S.
Post Office Address:	3700 Sweetbriar Lane, Colleyville, TX 76034-8681